

Enhancing the oxidation resistance of copper by using sandblasted copper surfaces



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ABSTRACT

We show that sandblasting can be employed to effectively enhance the oxidation resistance of copper by suppressing the interfacial delamination between copper and its oxide by modifying the surface roughness of Cu. Increasing sandblasting treatment time from 0 to 120 s increases the surface roughness of Cu from $0.12 \pm 0.003 \mu\text{m}$ to $2.89 \pm 0.176 \mu\text{m}$, which results in improved oxidation resistance of the Cu surfaces and enhances the oxide/substrate interfacial fracture energy from 0.035 J/m^2 to 3.1 J/m^2 determined using nanoindentation. The sandblasting treatment has no appreciable effect on the Young's moduli of the Cu oxide film, which remain nearly constant at around 36 GPa. The improved oxidation resistance is ascribed to the enhanced interfacial adhesion of the sandblasted copper owing to the concave shape of craters generated by sandblasting, which results in a net downward force from the compressive stresses in the oxide film that forces the film to adhere to the Cu substrate.

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1. Introduction

The macroscopic separation of a protective oxide film from a metallic substrate is a chronic problem in the oxidation of metallic materials, resulting in poor oxidation resistance mainly because of the poor adhesion between the oxide film and the metal substrate due to the mismatch in their specific volumes and thermal expansion coefficients. This is exemplified by the oxidation of copper (Cu), which has been considered as a major reliability problem in electronic devices. As semiconductor packaging is rapidly heading toward higher integration and decreased sizes, Cu along with its wide variety of alloys has been increasingly used as an interconnect material (e.g. lead frame) for microelectronic industry owing to its high electrical and thermal conductivities and low cost [1–5]. However, the applications of Cu and Cu alloys have been limited by the oxidation of Cu during the packaging assembly process due to their high affinity for oxygen, which makes them susceptible to oxidation when subject to elevated temperatures [2,6,7]. Unlike aluminum oxide, the Cu oxide layer is not self-protective and the oxidation of Cu continues [8,9]. This usually results in delamination that decreases the adhesion between the lead frame and epoxy-molding compound (EMC) [10–13]. Cu oxidation is considered as

a major reliability problem for the development of high-density integrated circuit packages and lead-free soldering. A main cause for the low adhesion of lead frame Cu with EMC is the low adhesion between the Cu substrate and the Cu oxide film formed from the oxidation [2,10,11].

Significant effort has been made toward improving the interfacial adhesion between the Cu-based substrate and EMC in order to achieve high reliability of electronic packages, especially for those used in harsh environments such as the automobile and aerospace industries. It mainly involves modifying the chemistry and topography of the Cu surfaces [14–16]. Cu surface chemistry has been modified primarily through plating with metals. A main function of plating is to reduce the growth of Cu oxide films during the packaging processes that are detrimental to adhesion. Several plating materials include Ag, Au, and Pd [2,17,18] have been used with varying degrees of success. Modification in surface topography is based on the principle of mechanical interlocking to improve the interfacial adhesion by introducing tiny holes or dimples etched on the Cu surface [2,18,19]. The pin-shaped molding compound entrapped within these holes provides an interlocking mechanism that prevents large-scale delamination.

Aside the aforementioned remedies for improving the delamination performance, a more fundamental approach is to directly improve the adhesion between the Cu substrate and the Cu oxide films formed during oxidation. Oxide/substrate adhesion exerts a decisive influence on the oxidation resistance of a material. Poor

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adhesion usually leads to oxide cracking, flaking or even exfoliation from the substrates due to the specific volume misfit between the oxide layer formed during oxidation and the metal substrate, resulting in the failure of the oxidation resistance protection [8,20]. Adhesion is a mechanical factor based on the surface topography and can be described as the interface fracture energy; the larger the surface, the higher the interface fracture energy and consequently the better the adhesion [21]. It has been shown that surface treatment by simple mechanical approaches such as grinding, polishing, and sandblasting can play a dramatic role in changing the progress of oxidation [22–24]. For instance, Yuan et al. studied the effect of surface finish on the scaling behavior of a stainless steel and found that surface deformation improved the oxidation resistance [23]. Tan et al. noted that shot-peening processing is an effective approach to improve the oxidation resistance of boiler steel [24]. However, the effect of such surface treatments on the oxide/metal adhesion is not addressed in the oxidation of Cu and there is a considerable lack of quantitative measurements on the interfacial adhesion energy of Cu and its oxide formed from the oxidation of the Cu surfaces treated by different mechanical approaches. In this work, we employ sandblasting to increase the surface roughness of Cu surfaces and examine the effect of the sandblasting on oxidation kinetics of the Cu surfaces. We demonstrate the unequivocal effects of the increased surface roughness on the enhanced oxide/substrate adhesion. Particularly, the quantitative correlation between the oxide/metal interfacial fracture energy and the surface roughness of the Cu substrate treated by sandblasting is established by nano-indentation measurements.

2. Materials and methods

High-purity Cu foils (99.99% purity) with a thickness of 0.25 mm (obtained from Sigma–Aldrich, St. Louis, MO) are used in the oxidation experiments. Sandblasting is very simple and low-cost process for mechanical surface treatments. In our experiments, a high-pressure gun is used for bombarding the Cu surfaces with glass beads. The sandblasting experiment is conducted in a glove box that allows for recycling and reuse of the glass beads. The sandblasting nozzle has a diameter of ¼ in. and the working distance from the sample surface is about ~20 cm. The metal foils are first sandblasted by glass bead abrasive with a diameter range of 150–250 µm at the pressure of ~50 psi on both sides with different time durations to modify the surface morphologies and roughness. The sandblasted samples are then cleaned with 0.1 M HCl to remove the native oxide layer and then thoroughly rinsed with deionized water for 10 min followed by ultrasonication in acetone for 5 min. The cleaned Cu substrates are dried in N₂ for further analysis. Cleanliness of the cleaned surface was examined by optical microscopy and scanning electron microscopy (SEM), which barely show any residual glass beads. The surface roughness of the sandblasted Cu is measured using an optical profiler (WYKO NT1100). The oxidation kinetics of the Cu substrates is measured by TGA-TA Instruments Q50. Each Cu substrate is placed in an alumina crucible and heated from room temperature up to 450 °C at a heating rate of 20 °C/min and then kept at 450 °C for 1 h in a flow of pure oxygen (60 ml/min) during the TGA measurements. An empty pure alumina crucible serves as an inert reference for measuring the mass gain. It is worth mentioning that the choice of 450 °C and pure oxygen in our experiments represents an accelerated test for the oxidation of the system in service, which allows for elucidating the effect of sandblasting on the interface adhesion strength between the Cu substrate and the oxide film. This oxidation condition is not representative for the actual device fabrication processes because the entire EMC/Cu interface may be not equally exposed to oxygen in practice. The surface and interfacial morphologies of the oxidized samples are examined using an optical microscope (Zeiss Axiovert

200 M) and a field-emission gun scanning electron microscope (FEG-SEM) FEI Supra 55VP. The nanoindentation measurements are employed to measure the oxide/substrate adhesion. In this study, all the nanoindentation measurements are performed using the TriboScope Nanoindenter (Hysitron Inc., Minneapolis, MN) with a sharp three-sided pyramidal diamond Berkovich indenter. The oxide/metal interfacial adhesion force is quantitatively measured by the nanoindentation test, which consists of a constant-rate-loading segment, a hold segment, and a constant-rate-unloading segment. The oxide film under indenter tip is first loaded to a prescribed force (maximum force) with a constant rate of 0.5 mN/s. The load is then held at the maximum value of loading for 5 s in order to avoid delayed deformation. The indenter is then withdrawn from the oxide film surface at 1 mN/s in a similar force controlled mode until it is completely out of contact with the surface. In the measurements, a series of continuous load-unload indentations with a maximum load of 7 mN are carried out at different locations of the oxidized Cu surface and the distance between the different locations is kept at least 100 µm apart to avoid interaction between the indented areas. All measurements are performed at room temperature on the post-oxidized samples.

3. Results and discussion

Sandblasting is a common mechanical procedure providing repeated impacts on a surface at a high speed and is widely used in metal and alloy treatments. Sand spheres of specific diameters are propelled through a pressurized gun and hit the surface of the metal. The sandblasting can generate compressive residual stresses and high-density dislocations in the target substrate, resulting in increased surface roughness and concave craters [25,26]. The surface morphology and roughness average (Ra) of the sandblasted Cu substrates are measured by an optical profiler. Fig. 1(a)–(e) shows the typical optical profiler 3D surface morphology images of the Cu substrates treated by sandblasting with different durations. It can be seen that the un-sandblasted Cu (i.e., 0 s) shows a smooth surface (Fig. 1(a)). Fig. 1(b) corresponds to the Cu surface treated by sandblasting for 15 s, which reveals the presence of several craters created by energetic glass beads. Increasing the sandblasting time results in the formation of overlapped craters, as shown in Fig. 1(c)–(e). Fig. 1(f) shows the dependence of the surface roughness of the Cu substrates on the sandblasting treatment durations, which shows that the surface roughness increases from $0.12 \pm 0.003 \mu\text{m}$ to $2.89 \pm 0.176 \mu\text{m}$ with sandblasting time.

TGA analysis is employed to monitor the oxidation kinetics of the Cu substrates which are first treated by sandblasting with different durations. Fig. 2 shows the mass gains of the Cu substrates as a function of time during the oxidation at 450 °C for 1 h in an oxygen flow of 60 ml/min (including the temperature ramping from room temperature to 450 °C at a rate of 20 °C/min). It shows that the weight change for the flat Cu substrate (i.e., un-sandblasted Cu) grows slower than the sandblasted Cu samples in the first ~30 min (as shown in the insert zoom-in plots), then increases faster than the sandblasted samples. The initially faster oxidation rate for the sandblasted samples can be attributed to the sandblasting-generated surface deformations, which facilitate oxide nucleation and growth compared to the un-sandblasting Cu. As oxidation continues, the oxide/substrate adhesion becomes an important factor in the oxidation rate. For un-sandblasted Cu, the oxide film can easily delaminate from the substrate, which results in the exposure of new Cu surface areas to oxygen for further oxidation. For sandblasted Cu, the oxide film is more adherent to the Cu substrate and thus can effectively block the supply of oxygen to the oxide/metal interface region. Therefore, the improved oxide/substrate interface adhesion slows down the oxidation rate as the reaction progresses. For the samples treated by sandblasting with different durations,

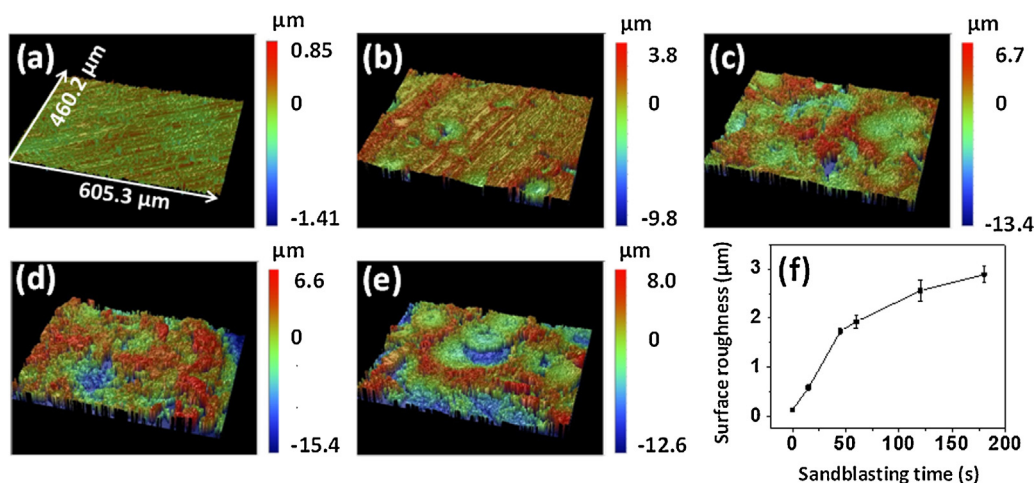


Fig. 1. 3D surface images taken by optical profilometer showing the surface morphology of the Cu surfaces treated by sandblasting for (a) 0 s, (b) 15 s, (c) 45 s, (d) 120 s, and (e) 180 s, respectively. The size of the image area is $186 \mu\text{m} \times 244 \mu\text{m}$. (f) Mean roughness (roughness average Ra) measured from the 3D profilometer images vs. sandblasting time.

the trend for the dependence of the initial oxidation rate on sandblasting time is not very clear. However, the TGA results indicate clearly that increasing the sandblasting time leads to a decrease in the oxidation rate of the Cu substrates for the longer oxidation time, i.e., the overall oxide growth rate is reduced with increasing sandblasting treatment time.

The surface morphologies of the oxidized Cu substrates are analyzed by optical microscopy. The $\text{Cu}_2\text{O}/\text{Cu}$ interfacial delamination results in exposed Cu surfaces that appear in orange under the optical microscope. Fig. 3 shows the surface morphologies of the oxidized Cu substrates after the TGA measurements shown in Fig. 2. Fig. 3(a) corresponds to the surface of the un-sandblasted sample and Fig. 3(b)–(f) are obtained from the samples treated by sandblasting for 15 s, 45 s, 60 s, 120 s and 180 s, respectively. All these sandblasted samples are oxidized under the same condition ($T=450^\circ\text{C}$, oxygen gas flow of 60 ml/min for 1 h). Fig. 3(a) shows that the oxidation of the un-sandblasted Cu results in severe surface cracking and oxide delamination, where the black areas are the surface covered by CuO which is black in color, and the orange areas are the exposed Cu surface due to the oxide delamination from the Cu substrate. Under the harsh oxidation condition employed in the study, the Cu surface should be severely oxidized to

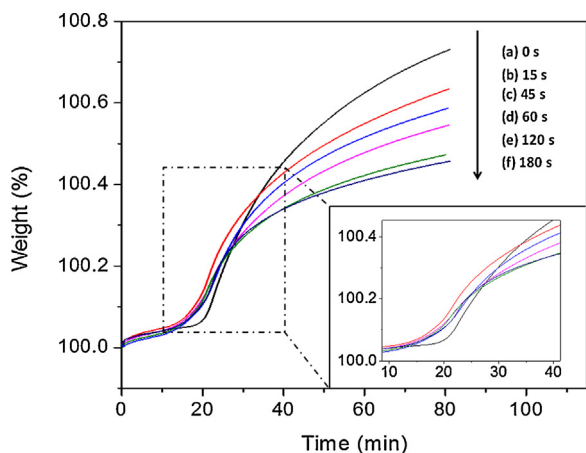


Fig. 2. TGA measurements of mass gains during the oxidation of sandblasted Cu samples during the oxidation at $T=450^\circ\text{C}$ with an oxygen flow of 60 ml/min. Before the oxidation, the bare Cu surfaces are treated by sandblasting for different durations ranging from (a) 0 s, (b) 15 s, (c) 45 s, (d) 60 s, (e) 120 s, (f) 180 s, respectively.

form a continuous oxide scale that covers the entire Cu substrate if there is no interfacial delamination. Therefore, the formation of the exposed Cu surface areas is caused by the oxide/substrate delamination. The $\text{Cu}_2\text{O}/\text{Cu}$ interfacial delamination is also confirmed by the cross-sectional SEM image as shown later in Fig. 4(a). As shown in Fig. 3(b)–(f), one can see that black areas become more continuous for the Cu substrates that are sandblasted for a longer duration. This suggests that surface cracking and interfacial delamination of the oxide layer is gradually suppressed with increasing sandblasting time. The oxide delamination from the substrate results in the exposure of fresh Cu surface areas to oxygen during oxidation, which results in continued oxide growth. For sandblasted Cu, the oxide film is more continuous and thus has better self-protection property. The results demonstrate that sandblasting can effectively suppress oxide delamination, thereby promoting self-protection property of the oxide film. This explains the TGA result that the overall oxidation rate decreases with sandblasting time as shown in Fig. 2.

Copper forms two thermodynamically stable oxides, CuO and Cu_2O upon reaction with oxygen and develops into a bi-layer structure with CuO being the top layer and Cu_2O being the bottom layer in contact with the Cu substrate [27,28]. Fig. 4 shows representative cross-sectional SEM images of the oxidized Cu substrates (un-sandblasted and sandblasted surfaces) revealing the double-layer oxide growth, where the thickness for the top CuO layer is about 200 nm, much thinner than the inner Cu_2O layer which has a thickness of about $2.5 \mu\text{m}$. It should be noted that comparing the total thicknesses of the oxide films formed on the un-sandblasted and sandblasted Cu substrates is not feasible because the rough $\text{Cu}_2\text{O}/\text{Cu}$ interface makes it quite difficult for reliable thickness measurements of the oxide films on the sandblasted Cu. Instead, the TGA measurements shown in Fig. 2 give a more accurate comparison of the oxidation rates for the different samples, from which the average thicknesses of the oxide films on the sandblasted Cu substrates can be determined. It has been shown that the growth of the oxide scale during the oxidation of Cu is controlled via outward diffusion of Cu cations because of the nature of point defects (i.e., Cu vacancies) in the Cu_2O and CuO layers [8]. The growth of the inner Cu_2O layer occurs at the expense of the inner CuO layer at the $\text{Cu}_2\text{O}/\text{CuO}$ interface, i.e., growth of the Cu_2O layer requires decomposition of the oxygen-rich CuO layer to obtain the necessary oxygen. Since the molar volume of CuO is slightly larger than that of Cu_2O (the cell volumes of Cu_2O and CuO are 77.83 \AA^3 and 81.16 \AA^3 , respectively), compressive stresses are generated in

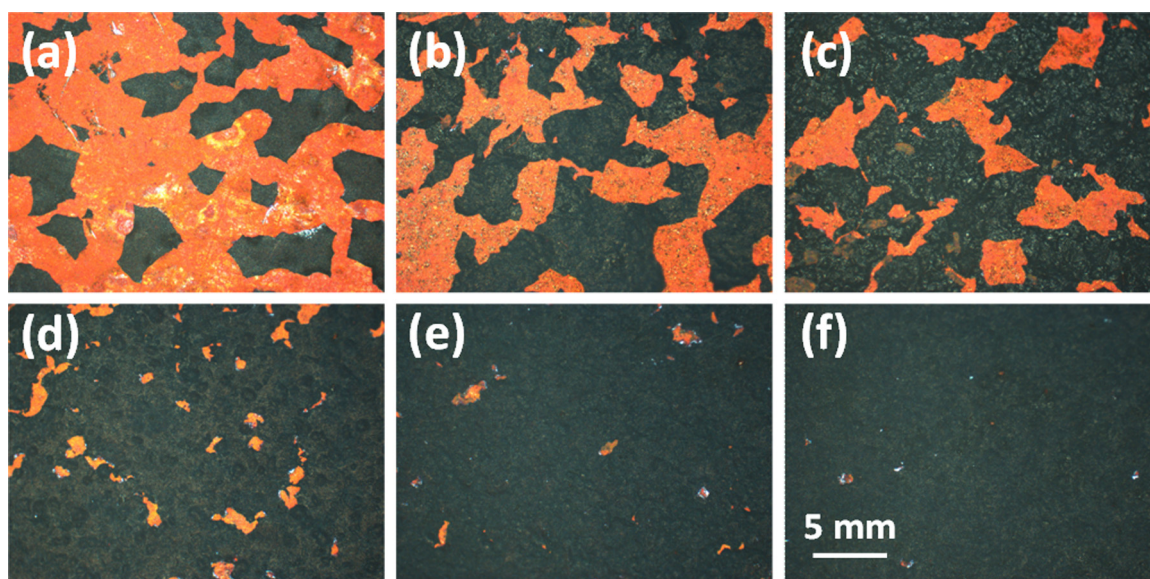


Fig. 3. Optical images of the Cu surfaces that are first treated by sandblasting for (a) 0 s, (b) 15 s, (c) 45 s, (d) 60 s, (e) 120 s, (f) 180 s, respectively, followed by oxidation at $T = 450^\circ\text{C}$ for 1 h in an oxygen flow of 60 ml/min. Black area is CuO, and orange area is Cu. Prior to oxidation. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

the CuO layer by the volume change accompanying the CuO/Cu₂O interface reaction, which typically stimulates CuO NW (nanowire) formation on the outer surface [28,29], as revealed in Fig. 4. As a result, the CuO/Cu₂O interface stress is released by CuO NW formation and the CuO/Cu₂O interface remains intact and adherent as shown by the SEM images in Fig. 4(c) and (d), which are obtained from the CuO/Cu₂O interface regions marked by the dashed red rectangles in Fig. 4(a) and (b), respectively. The lengths of the NWs formed on the oxidized Cu surfaces vary from 200 nm to several μm . EDS spectra shown in Fig. 4(e) and (f) are collected from the top and second oxide layers, confirming the existence of the CuO and Cu₂O layers. Fig. 4(g) shows an XRD pattern from the un-sandblasted Cu sample, showing the presence of both CuO and Cu₂O peaks. The sandblasted Cu samples show the similar XRD patterns as the un-sandblasted Cu sample.

The formation of Cu₂O from the reaction ($\text{Cu} + \text{O}_2 \rightarrow \text{Cu}_2\text{O}$) at the Cu₂O/Cu interface results in large molar volume mismatch (the cell volumes of Cu and Cu₂O are 47.2 \AA^3 and 77.83 \AA^3 , respectively). The ensuring difficulties in local volume accommodation give way to the generation of large stresses in the Cu₂O/Cu interface region, which serve as the driving force for oxide delamination from the Cu substrate. This can be evidenced from Fig. 4(a), which shows significant delamination at the Cu₂O/Cu interface. This is also consistent with the optical microscopy observations as shown in Fig. 3, which demonstrate that the oxide delamination results in exposed Cu surface. However compared to the un-sandblasted sample that shows significant separation at the Cu₂O/Cu interface, one can see from Fig. 4(b) that the Cu₂O layer adheres tightly to the roughened Cu substrate. Pegging of the oxide film to the substrate by sandblasting provides the interlocking mechanical mechanism that results in improvement in oxide adherence and thereby better oxidation resistance as revealed in the TGA measurements shown in Fig. 2.

To confirm that the improved Cu₂O/Cu interfacial adhesion described above is related to sandblasting, the oxidation morphologies around individual craters generated by sandblasting are examined. Fig. 5(a) shows a 3D profile surface image of a single crater formed on a sandblasted Cu substrate before oxidation. The diameter of the crater is about $150 \mu\text{m}$ with a ramp area, which corresponds well with the round shape and the diameter range of the spherical glass beads used for sandblasting. The crater

experiences different levels of deformation from the ramp to the bottom area, as expected from the different stresses exerted during sandblasting. The stress induced-deformation increases from rim area to ramp area, and reaches maximum at the crater bottom. Fig. 5(b) shows an SEM image of an oxidized Cu substrate which is treated by sandblasting for 15 s before the oxidation at 450°C . Several craters are still visible even after the oxidation, as marked by red circles. Stress-induced cracks in the oxide film are also found on the surface. However, one can see that these surface cracks are terminated at the rim area of the craters, i.e., the propagation of cracks in the oxide film is stopped by the presence of craters. This suggests that the oxide film formed in the crater area has better oxide/substrate mechanical adhesion.

It can now be inferred from the observation shown in Fig. 5 that the sandblasting induced craters can inhibit cracking propagation in the oxide layer during oxidation. This corroborates well with the TGA observation shown in Fig. 2, i.e., the oxidation rate decreases with sandblasting time. Their correlation indicates that the sandblasting treatment of the Cu surfaces can effectively promote the oxide adhesion with the Cu substrate as seen in Fig. 4, thereby resulting in the improved self protection of the oxide film. To better elucidate the mechanical adhesion of the oxide film with the Cu substrate, we employ the nanoindentation technique to measure and evaluate the bonding energy between the oxide film and the Cu substrate. Fig. 6 schematically shows the load–time pattern applied to the oxide film during the nanoindentation test, which includes a constant-rate-loading segment, a hold segment, and a constant-rate-unloading segment, as described in material and methods section.

The nanoindentation induces a complex stress field in the oxide/metal interface region, which can lead to delamination of the oxide layer at the Cu₂O/Cu interface. After delamination, the debonded oxide film may be suspended over the substrate under small load and can be considered as a membrane, and thus the interfacial delamination fracture energy can be analyzed by the load–depth data using the membrane theory [30–32]. The oxidation of Cu typically results in the growth of CuO NWs, as shown in the SEM image in Fig. 7(a), taken from an un-delaminated oxide film. No cracks are formed in these un-delaminated surface areas. The growth of CuO NWs can be also confirmed from the

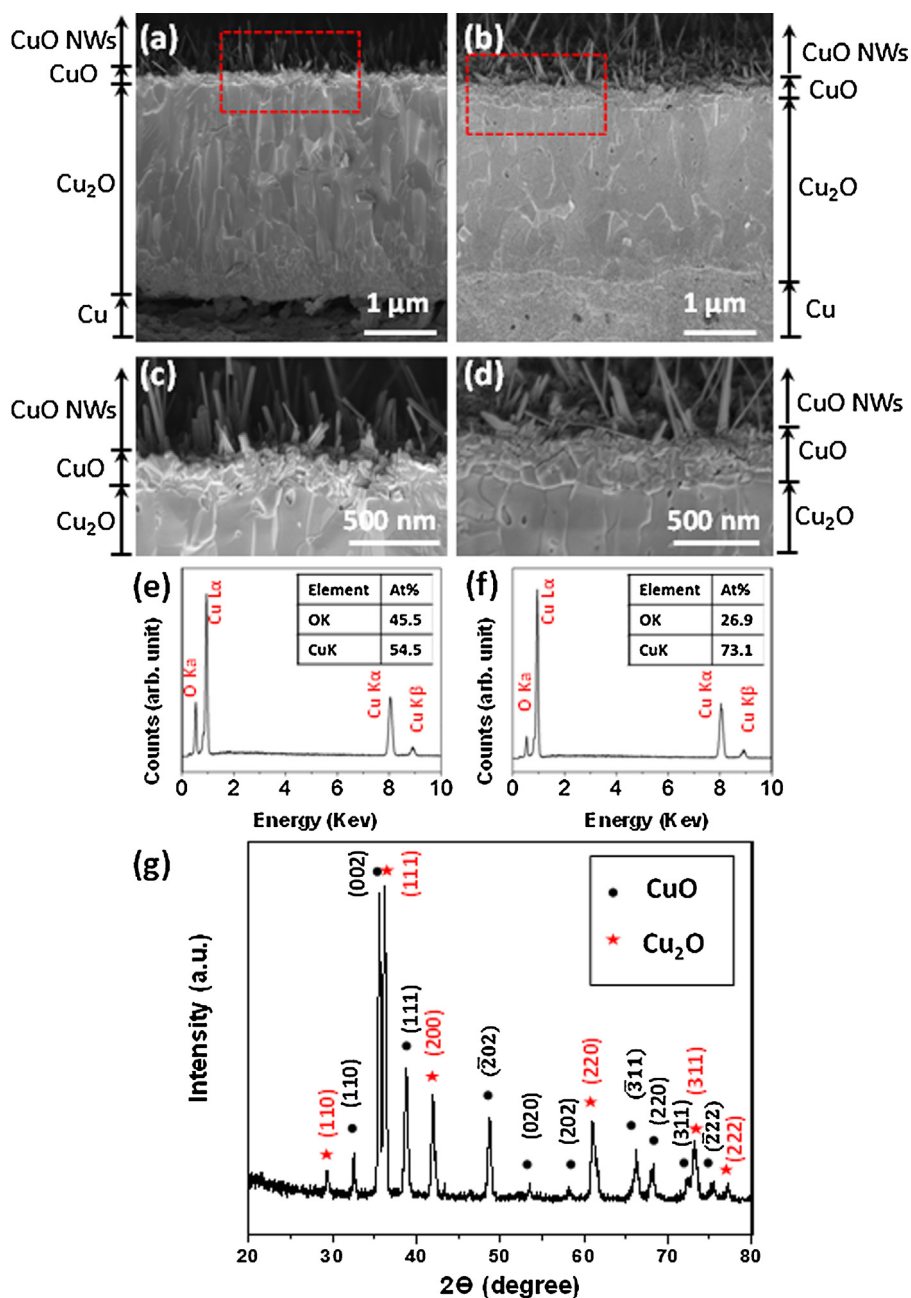


Fig. 4. Cross-sectional SEM images of the Cu substrates oxidized at 450 °C for 1 h in a flow of 60 ml/min of oxygen: (a) un-sandblasted Cu substrate; (b) the Cu substrate that is treated by sandblasting for 45 s before the oxidation; (c and d) zoom-in SEM images of the red dashed boxes shown in (a) and (b), respectively; (e and f) EDS results collecting from CuO layer (e) and Cu₂O layer (f), respectively; (g) XRD pattern from the oxidized un-sandblasted Cu substrate. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

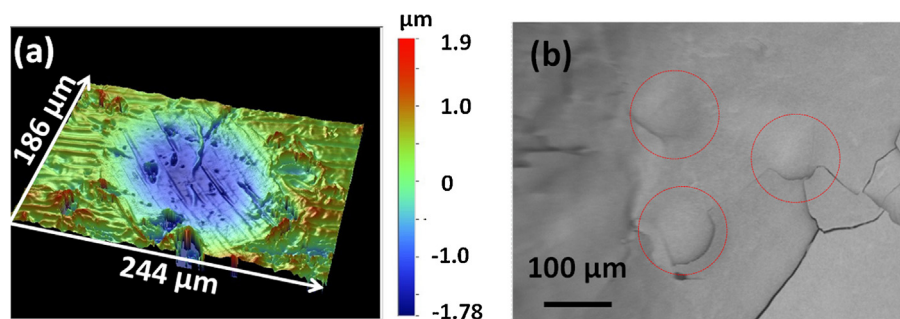


Fig. 5. (a) 3D profile image of a single crater formed by sandblasting for 3 s. (b) SEM image of the oxidized Cu substrate that is first treated by sandblasting for 15 s followed by oxidation at 450 °C for 1 h in a flow of oxygen (60 ml/min), red circles mark the presence of craters on the oxidized surface. Cracks in the oxide film are observed to terminate at the crater edge. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

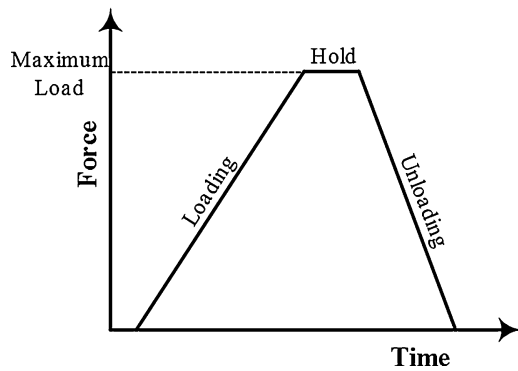


Fig. 6. Schematic illustration of the load-time pattern applied to the oxide film during the nano-indentation test.

cross-sectional SEM images as shown in Fig. 4(a)–(d). As the indenter goes down, it contacts with CuO NWs first before reaching the oxide layer, as shown schematically in Fig. 7(b). This results in a small force increase within a large indenter tip displacement, as observed experimentally shown in Fig. 7(c). This long flat plateau in the force–displacement curve is caused by the buckling of the CuO NWs. One can see that the applied load is increased only slightly for the oxide NW buckling and is very small compared to the maximum load that results in the film delamination. Therefore, the effect from the NWs is negligible in the load–displacement measurements on the oxide film delamination.

Fig. 8(a) shows an example of our results of the nanoindentation measurements made on the oxidized Cu substrate that is treated by sandblasting for 120 s before the oxidation at 450 °C for 1 h. The nanoindentation consists of loading, holding, and unloading segments. No obvious failure event is observed in the load–displacement curve during loading. The unloading curve reveals that there is an elbow followed by a linear load-depth recovery. During unloading, the obvious change in the slope of the curve is caused by the delaminated oxide film pushing upwards onto the indenter. The linear recovery observed at the end of unloading is attributed to the spontaneous opening caused by the delamination at the Cu₂O/Cu interface during unloading. The delamination induced slope changes or extra linear recovery have also been observed in other experimental systems [30–33] as well as in numerical simulations [34,35]. The debonded oxide film is separated from the Cu substrate and acts as a membrane with an effective spring constant, as schematically shown in Fig. 8(b). As mentioned earlier, the CuO/Cu₂O interfacial bonding is strong

because of the relaxation of the CuO/Cu₂O interfacial stress by CuO NW formation, the delamination occurs at the interface between the Cu₂O layer and the Cu substrate. The linear recovery behavior observed in the nanoindentation measurements is the response of the delamination at the Cu₂O/Cu interface.

The interfacial fracture energy, which is the resistance to delamination, is used as a measurement of the practical work of the interfacial adhesion. For the case of no buckling, the indentation-induced stress in the suspended film can be evaluated by the membrane theory [30,31]. The interfacial fracture energy G can be determined without estimating the delamination length and can be expressed as [32,36],

$$G = \frac{K_c^2}{32\pi^2 t E_f^*}, \quad (1)$$

where E_f^* , t and K_c are the reduced modulus, the thickness of the oxide film and the effective spring constant of suspended oxide film in the unloading segment, respectively. It is noted that E_f^* denotes the oxide film's reduced modulus as $E_f^* = E_f / (1 - \nu_f^2)$, where E_f and ν_f are Young's modulus and Poisson's ratio, respectively. The oxide film Poisson's ratio $\nu_f = 0.455$ is adopted [34]. This is a convenient method to evaluate the interfacial fracture energy G because the values of K_c and E_f^* can be directly obtained from the experimental results. The value of K_c is 7.73 $\mu\text{N}/\text{nm}$ as determined from the linear recovery segment during unloading shown in Fig. 8(a). By using the thickness of the oxide films and the reduced modulus obtained from the nanoindentation test ($t = 1.98 \mu\text{m}$, $E_f^* = 25.4 \text{ GPa}$), the interfacial fracture energy of the Cu₂O film on the Cu substrate can be calculated by Eq. (1). Similar nanoindentation tests are performed on different locations of the oxidized Cu surface, from which an average value of the interfacial fracture energy is obtained. For instance, the interfacial fracture energy for the oxide film formed on the Cu substrate sandblasted for 120 s is 3.1 J/m².

Similar nanoindentation tests are also performed on a number of the Cu substrates that are first treated by sandblasting for different durations and then oxidized under the same oxidation condition as shown in the TGA measurements (Fig. 2). It is worth noting that not all cases show oxide film delamination in our nano-indentation measurements. For instance, the oxide layer on the Cu substrate which is treated by sandblasting for 180 s still bonds with the substrate during the unloading segment, as shown schematically in Fig. 9(a). The blue curve in Fig. 9(b) shows a representative measurement of the bonded film. During loading and unloading segments, no obvious failure event is observed, which

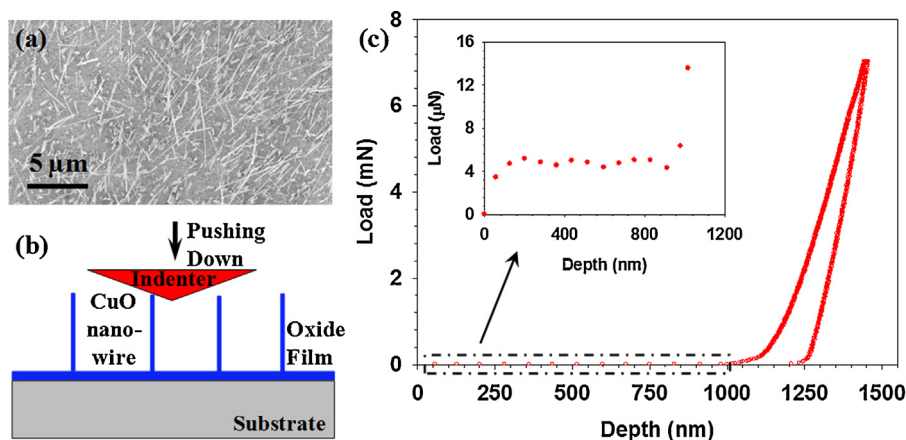


Fig. 7. (a) SEM image showing the formation of CuO NWs on the Cu surface oxidized at 450 °C for 1 h in a flow of oxygen (60 ml/min); (b) Schematic showing that the contact of the nanoindenter with CuO NWs during the loading process, which results in the buckling of the NWs with a slightly increased force load; (c) experimental nanoindentation load–displacement curves for a two-stage behavior; the inset figure shows the load–displacement curve for the contact between NWs and the indenter.

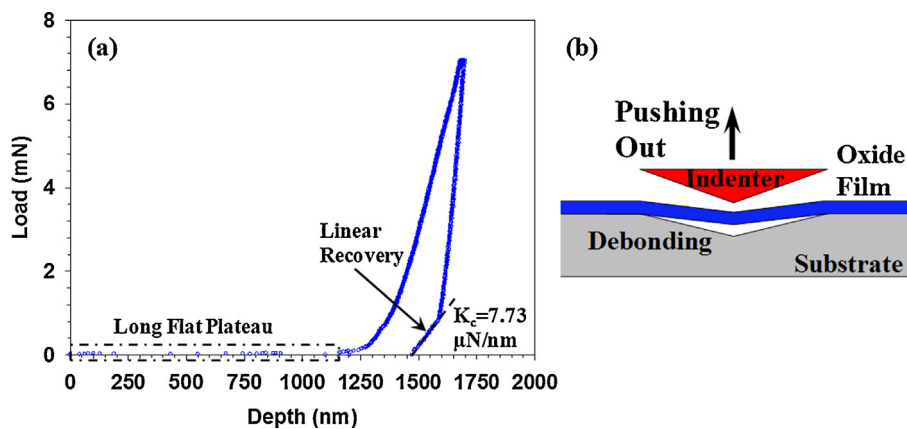


Fig. 8. (a) A representative experimental nanoindentation load–displacement curve showing the debonding of the oxide film from the Cu substrate during the unloading segment. (b) Schematic representation showing the geometry of the debonding case during the unloading segment.

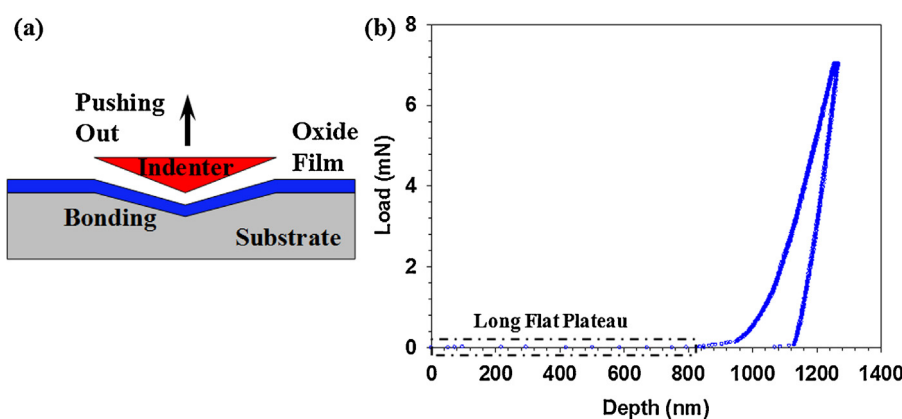


Fig. 9. (a) The schematic drawing shows the geometry of the bonding case during the unloading segment. (b) Experimental load–displacement curves obtained from the nanoindentation measurements made on the Cu substrate that is first treated by sandblasting for 180 s followed by oxidation at 450 °C for 1 h in an oxygen flow of 60 ml/min. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of the article.)

can be ascribed to the strong $\text{Cu}_2\text{O}/\text{Cu}$ interface. Since the NWs induced long flat plateau in the load–displacement curves (i.e., Figs. 7(c), 8(a) and 9(b)) is negligible in the load–displacement measurements on the oxide film delamination, NWs are not included in the schematic drawings of Figs. 8(b) and 9(a) in order to show the failure event of the oxide film more clearly. Table 1 lists the key measured and calculated data about the oxide thin films. From Table 1, we can find that the Young's moduli remain nearly constant at around 36 GPa for the oxide films formed on the different Cu substrates, which is consistent with the reported value of the Young's modulus for Cu_2O [37,38]. The table shows the $\text{Cu}_2\text{O}/\text{Cu}$ interfacial fracture energies show correlation with sandblasting time, i.e., the interfacial fracture energy increases

with sandblasting time. As shown earlier, the substrate roughness increases with sandblasting treatment time. Thus, the effect of the surface roughness has a marked effect on the energy dissipation during debonding. Nano-indentation measurements are also performed on the oxidized Cu substrates which are sandblasted for 135 s, 150 s and 165 s, respectively, prior to their oxidation. For all these samples, the oxide films show very good adhesion with the sandblasted Cu substrates and no obvious failure events are observed in our nano-indentation tests. The possible reason is that the maximum load of indentation (7 mN) is not sufficiently large to induce the delamination between the oxide film and the Cu substrate owing to the enhanced $\text{Cu}_2\text{O}/\text{Cu}$ interfacial adhesion.

Table 1

List of experimentally measured and calculated parameters for the Cu oxide film and the $\text{Cu}_2\text{O}/\text{Cu}$ interface.

Sample #	Sandblasting time (s)	Oxide film thickness t (μm)	Reduced modulus E_r^* of the oxide film (GPa)	Young's modulus of the oxide film (GPa)	Effective spring constant K_c ($\mu\text{N}/\text{nm}$)	Interfacial fracture energy G (J/m^2)
1	0	3.06 ± 0.15	24.7 ± 4.4	31.1 ± 5.5	0.91 ± 0.13	0.035 ± 0.005
2	15	2.66 ± 0.08	32.1 ± 6.5	40.4 ± 8.2	1.34 ± 0.21	0.067 ± 0.012
3	45	2.46 ± 0.1	27.3 ± 3.3	34.5 ± 4.2	2.53 ± 0.26	0.30 ± 0.03
4	60	2.29 ± 0.05	31.0 ± 6.7	39.0 ± 8.5	5.51 ± 0.57	1.36 ± 0.25
5	120	1.98 ± 0.07	27.9 ± 4.6	35.2 ± 5.8	7.36 ± 0.78	3.10 ± 0.43
6	135	1.89 ± 0.04	25.6 ± 3.7	32.3 ± 4.6	NA	NA
7	150	1.82 ± 0.05	30.3 ± 4.2	38.3 ± 5.3	NA	NA
8	165	1.79 ± 0.05	31.6 ± 5.9	39.8 ± 7.8	NA	NA
9	180	1.77 ± 0.03	26.0 ± 5.2	32.8 ± 6.6	NA	NA

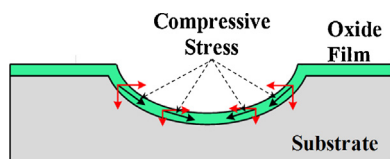


Fig. 10. Schematic diagram of the cross-section of an oxide film grown on a crater formed by sandblasting. The mismatch in mole volumes and thermal expansion coefficients between Cu and the oxide film creates compressive stress in the oxide film. The concave shape of the crater produces a net downward force that forces the film to adhere to the substrate.

The increased $\text{Cu}_2\text{O}/\text{Cu}$ interfacial fracture energy for the sandblasted Cu can be ascribed to the concave shape of craters formed on the Cu surfaces. Due to the large volume increase associated with the oxide formation, the oxidation of copper results in large compression stress (e.g., growth stress) in the oxide film. In addition, the thermal expansion coefficients of Cu_2O and Cu are $1.9 \times 10^{-6} \text{ }^\circ\text{C}^{-1}$ and $17 \times 10^{-6} \text{ }^\circ\text{C}^{-1}$, respectively. Because of the thermal expansion mismatch, a thermal stress (compressive) is also generated in the oxide film after the sample is cooled down to room temperature. Thus, the oxide film is under compression for both the growth and thermal stresses. The concave shape of the craters induced by sandblasting facilitates the establishment of a net downward force from the compressive stresses in the film to force the film to adhere to the substrate, as shown schematically in Fig. 10. This also corroborates well with the SEM observation as shown in Fig. 5(b), which shows that the crack propagation in the oxide film stops near the crater region. This is the mechanics origin behind the observed results of the improved oxide/substrate adhesion induced by surface sandblasting. Another contribution for the improved oxide adhesion with the sandblasted substrates is the sandblasting induced increase in surface area by which any type of physical or chemical bonding between the oxide film and the Cu substrate becomes more effective.

4. Conclusion

We have studied the effect of Cu surface roughening induced by sandblasting on the oxidation behavior of Cu. Our results demonstrate that increasing the sandblasting treatment time reduces the oxide film growth and suppress the oxide film delamination from the Cu substrate. Our nanoindentation measurements reveal that the $\text{Cu}_2\text{O}/\text{Cu}$ interfacial fracture energy increases with sandblasting time. All these results demonstrate that increasing the surface roughness of the Cu substrate can effectively improve the oxide/substrate interfacial adhesion, thereby resulting in better self-protection of the oxide film. The improved oxide/Cu interfacial adhesion is ascribed to the concave shape of craters generated by sandblasting, which produces a net downward force from the compressive stresses in the oxide film to force the film to adhere to the substrate. These results may have broader applicability in manipulating surface oxidation kinetics and the oxide/metal adhesion by modifying the surface roughness of the metals via the simple mechanical approach. The present work is solely focused on the interface between Cu substrate and the oxide film. Further investigations to elucidate the effect of sandblasting on the performance of the actual system, i.e., the Cu-EMC assembly, are needed but are beyond the present study.

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